

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
G01-13NP

In Re Application Of:  
Hunicke-Smith, et al.

PATENT & TRADEMARK OFFICE  
APR 19 2000  
1491

Serial No.  
09/527,106

Filing Date  
March 16, 2000

Examiner

Group Art Unit

Title:  
**Polymer Synthesizer**

Address to:  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- a certification as specified in 37 CFR 1.97(e);

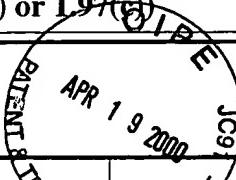
**OR**

- the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 500422 as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of \_\_\_\_\_
- Credit any overpayment.
- Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.

) on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on April 14, 2000, with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara J. Luther

Signature of Person Mailing Correspondence

Barbara J. Luther

Typed or Printed Name of Person Mailing Correspondence

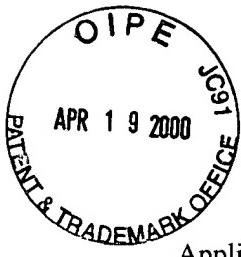
\*This certificate may only be used if paying by deposit account.

Barbara J. Luther

Signature

Dated: April 14, 2000

CC:



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hunicke-Smith, et al. Attorney Docket No: G01-13NP  
Serial No: 09/527,106 Examiner:  
Filed: March 16, 2000 Group Art Unit:  
Title: POLYMER SYNTHESIZER

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir or Madame:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.61 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Deposit Account No. 500422.

Respectfully submitted,

Dated: April 14, 2000

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By: Barbara J. Luther  
Barbara J. Luther  
Reg. No. 33954

<b>INFORMATION DISCLOSURE CITIGATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>G01-13NP</b>	Application Number <b>09/527,106</b>		
				Applicant(s) <b>Hunicke-Smith, et al.</b>			
				Filing Date <b>3/16/2000</b>	Group Art Unit		
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,472,672	12/5/1995	Brennan			
		5,529,756	6/25/1996	Brennan			
		5,837,858	11/17/1998	Brennan			
		5,368,823	11/29/1994	McGraw, et al.			
		5,541,314	7/30/1996	McGraw, et al.			
		5,551,487	9/3/1996	Gordon, et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
		'First Mate,' by Argonaut Technologies, <a href="http://www.argotech.com/newdirections/firstmate/index.html">www.argotech.com/newdirections/firstmate/index.html</a> .					
EXAMINER				DATE CONSIDERED			

EXAMINER

## DATE CONSIDERED

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.